

# EQUATIONS DEFINING THE PERIODS OF TOTALLY DEGENERATE CURVES

BY

L. GERRITZEN

*Fakultät und Institut für Mathematik, Ruhr-Universität Bochum  
4630 Bochum 1, Postfach 102148, Gebäude NA 2/33, Germany*

## ABSTRACT

Mumford has studied the generalized Jacobian variety of a singular, irreducible curve in section 5 of his book (1984). It is determined by a period matrix which is a symmetric matrix whose diagonal is zero. The problem to determine systems of equations for the period matrices of totally degenerate curves is the analogue of the Schottky problem. An essentially complete solution is given.

## Introduction

In this article an equation is derived which describes the locus of period matrices of stable totally degenerate curves of genus 4 whose intersection graph is simple. The equation is given by a polynomial  $F$  in the entries  $q_{ij}$  of a symmetric  $4 \times 4$  matrix whose diagonal is zero.

This result allows one to deduce systems of equations for the periods of totally degenerate, irreducible curves of genus  $\geq 5$ .

One can specialize  $F$  to obtain an equation  $F' = 0$  describing the periods of totally degenerate, hyperelliptic curves of genus 3.

The irreducibility of Schottky's divisor in the space  $A_4$  of principally polarized abelian varieties of genus 4 which is the locus of a modular form  $J$  was proved by Igusa, see [I]. In order to see how the function  $F$  can be obtained from  $J$  one has to degenerate  $J$  in some well-chosen toroidal compactification of  $A_4$ . The induction procedure in [vG] seems to degenerate to the simple one described in Section 5.

---

Received December 30, 1990 and in revised form November 11, 1991

In Section 1 the algebraic torus  $Q_g$  of totally degenerate symmetric  $g \times g$  matrices is introduced.

In Section 2 the period map  $\text{per}_g : B_{2g}^* \rightarrow Q_g$  from the moduli scheme  $B_{2g}^*$  of  $2g$ -marked, stable trees of projective lines whose intersection graph has simple periods into  $Q_g$  is defined. The image  $P_g$  is the scheme of periods of totally degenerate curves, see [M], §5.

The equation  $F' = 0$  for hyperelliptic  $3 \times 3$  matrices is computed in Section 3. In Section 4 the equation  $F = 0$  describing  $P_4$  in  $Q_4$  is deduced. In Section 5 equations defining  $P_g$  in the open subscheme  $\{q_{ij} \neq 1\}$  of  $Q_g$  are given for  $g \geq 5$ .

### 1. The Torus of Totally Degenerate, Symmetric Matrices

1. Let  $M$  be a free  $\mathbb{Z}$ -module and let  $q$  be a symbol for a system of variables. Denote by  $\mathbb{Z}[q, M]$  the group ring of  $M$  over  $\mathbb{Z}$  for which the monomial in  $\mathbb{Z}[q, M]$  associated to  $m \in M$  is denoted by  $q^m$ .

Any  $f \in \mathbb{Z}[q, M]$  is given by an expression  $f = \sum_{m \in \nu} c_m q^m$  where  $\nu$  is a finite subset of  $M$  and  $c_m \in \mathbb{Z}$  for all  $m$ . Let  $\chi_m : \mathbb{Z}[q, M] \rightarrow \mathbb{Z}$  be the  $\mathbb{Z}$ -linear map which sends  $q^{m'}$  onto

$$\delta_{mm'} := \begin{cases} 1 : m' = m, \\ 0 : m' \neq m. \end{cases}$$

Then  $f = \sum_{m \in M} \chi_m(f) \cdot q^m$  for any  $f \in \mathbb{Z}[q, M]$ . It is called the expansion of  $f$  relative to the monomials (or characters) in  $\mathbb{Z}[q, M]$ .

The support  $\text{supp}(f)$  of  $f$  is defined to be  $\{m \in M : \chi_m(f) \neq 0\}$ . It is a finite subset of  $M$ . Let  $\eta : M \rightarrow \mathbb{Z}$  be a linear form on  $M$ ,  $\eta \neq 0$ , and  $f \in \mathbb{Z}[q, M]$ ,  $f \neq 0$ .

*Definition:*  $\text{deg}_\eta f := \sup\{\eta(m) : m \in \text{supp} f\}$  is called the degree of  $f$  relative to  $\eta$ .  $\zeta_\eta(f) := \sum \chi_m(f) \cdot q^m$  where the summation is over all  $m \in \text{supp} f$  for which  $\eta(m) = \text{deg}_\eta f$  is called the leading term of  $f$  relative to  $\eta$ .

One gets the following rules:

$$\begin{aligned} \text{deg}_\eta f \cdot f' &= \text{deg}_\eta f + \text{deg}_\eta f', \\ \zeta_\eta(f \cdot f') &= \zeta_\eta(f) \cdot \zeta_\eta(f'). \end{aligned}$$

*Proof:* Both follow readily from the obvious formula

$$\chi_m(f \cdot f') = \sum_{\substack{n+n'=m \\ n, n' \in M}} \chi_n(f) \chi_{n'}(f'). \quad \blacksquare$$

The group of units  $\mathbb{Z}[q, M]^*$  of  $\mathbb{Z}[q, M]$  are those functions  $f \neq 0$  for which  $\text{supp}(f)$  consists of just one element  $m$  such that  $\chi_m(f) \in \{+1, -1\}; f = \pm q^m$ .

*Definition:*  $\text{ht}_\eta f := \text{deg}_\eta f - \text{deg}_{(-\eta)} f$  is called the height of  $f$  relative to  $\eta$ . Obviously  $\text{ht}_\eta(\pm q^m f) = \text{ht}_\eta f$  and  $\text{ht}_\eta(ff') = \text{ht}_\eta f + \text{ht}_\eta f'$ .

The convex hull of  $\text{supp}(f)$  in  $\mathbb{R} \otimes M$  is denoted by  $\text{conv}(f)$ .

2. Let  $N = N_g$  be a free abelian group of rank  $g, g \geq 2$ , and  $e_1, \dots, e_g$  a base of  $N_g$ . Then the quotient

$$N'_g = N_g \otimes_{\text{sym}} N_g / \bigoplus_{i=1}^g \mathbb{Z}e_i^2$$

of the symmetric tensor product of  $N_g$  with itself by the subgroup generated by the squares  $e_1^2 = e_1 \cdot e_1, \dots, e_g^2 = e_g \cdot e_g$  is also a free abelian group. Its rank is

$$\binom{g}{2} = \frac{1}{2}g(g-1).$$

Let  $Q_g := \text{Spec}\mathbb{Z}[q, N'_g]$ . It is considered as an algebraic torus over  $\mathbb{Z}$ . It is canonically isomorphic to  $\mathbb{G}_m^{\binom{g}{2}}$  where  $\mathbb{G}_m$  denotes the multiplicative group scheme over  $\mathbb{Z}$  given through the characters  $q_{ij} = q^{e_i e_j}, 1 \leq i < j \leq g$ .

Let  $k$  be a field. A  $k$ -valued point  $v$  of  $Q_g$  is a symmetric  $g \times g$  matrix with entries in  $k, v = (v_{ij})$ , such that  $v_{ii} = 0$  for all  $i$  and  $v_{ij} \in k^* = k - \{0\}$  for all  $i \neq j$ .

A matrix with these properties is called a **totally degenerate, symmetric matrix** over  $k$ .  $Q_g$  is called the **torus of totally degenerate, symmetric  $g \times g$  matrices**.

3. Let  $\Gamma_g$  be the group of all automorphisms  $\gamma : N_g \rightarrow N_g$  that map the set  $\{\pm e_1, \dots, \pm e_g\}$  onto itself.  $\Gamma_g$  is the group of all proper and improper movements of the standard  $g$ -dimensional cube  $\{x \in \mathbb{R}^g : x = (x_1, \dots, x_g), |x_i| \leq 1 \text{ for all } i\}$  in euclidean space  $\mathbb{R}^g$ .  $\Gamma_g$  is referred to as the moduli group on the torus of totally degenerate, symmetric  $g \times g$  matrices.

Let  $\bar{\Gamma}_g$  be the subgroup of  $\Gamma_g$  of all automorphisms which permute the set  $\{e_1, \dots, e_g\}$  for all  $i$ . Let  $\Gamma_g^0$  be the subgroup of  $\Gamma_g$  of all automorphisms which permute the set  $\{e_i, -e_i\}$  for all  $i$ . Then  $\Gamma_g^0$  is a normal subgroup of  $\Gamma_g$  and  $\Gamma/\Gamma_g^0$  is canonically isomorphic to  $\bar{\Gamma}_g$ .  $\Gamma_g$  is the semidirect product of  $\Gamma_g^0$  with  $\bar{\Gamma}_g$ .

Let  $\epsilon_i : N \rightarrow N$  be that automorphism for which

$$\epsilon_i(e_j) = \begin{cases} e_j & : j \neq i, \\ -e_i & : j = i. \end{cases}$$

Then  $\Gamma_g^0$  is generated by  $\epsilon_1, \dots, \epsilon_g$  and  $\Gamma_g^0 \cong (Z/2Z)^g$

$\Gamma_g$  acts canonically on  $N'_g$ . If  $e_i e_j$  is the symmetric product of  $e_i$  with  $e_j$ , then

$$\gamma(e_i e_j) = (\gamma e_i) \cdot (\gamma e_j)$$

for any  $\gamma \in \Gamma_g$ .

$\Gamma_g$  also acts canonically on the group ring  $Z[q, N'_g]$ . If  $m \in N'_g$ , then  $\gamma \cdot q^m = q^{\gamma(m)}$  and  $\gamma \cdot f = \sum \chi_m(f) \cdot q^{\gamma(m)}$  for  $f \in Z[q, N'_g]$ .

$\Gamma_g$  acts on  $Q_g$  canonically from right. If  $v = (v_{ij})$  is a  $k$ -valued point of  $Q_g$ , and if  $\gamma \in \bar{\Gamma}_g$ , then  $v \cdot \gamma = w = (w_{ij})$  with  $w_{ij} = v_{\gamma(i)\gamma(j)}$  where  $\gamma(i) = l$  if  $\gamma(e_i) = e_l$ .

If  $\epsilon_l \in \Gamma_g^0$ , then  $v \cdot \epsilon_l = w$  with

$$w_{ij} = \begin{cases} v_{ij}^{-1} & : i = l \text{ or } j = l \\ v_{ij} & : \text{otherwise} \end{cases}$$

for  $i \neq j$ . Then  $(\gamma f)(v) = f(v\gamma)$  for any  $f \in Z[q, N'_g]$  and any  $k$ -valued point  $v$  of  $Q_g$ .

4. In this section we take  $g = 3$ . Let

$$\begin{aligned} \Delta' &:= (q_{12} - 1)(q_{13} - 1)(q_{23} - 1) \\ &= q_{12}q_{13}q_{23} - q_{12}q_{13} - q_{12}q_{23} - q_{13}q_{23} + q_{12} + q_{13} + q_{23} - 1. \end{aligned}$$

Let  $H' := q_{12}q_{13}q_{23} + q_{12} + q_{13} + q_{23}$ . Let

$$G' := q_{12}(q_{13} - 1)^2(q_{23} - 1)^2 + q_{13}(q_{12} - 1)^2(q_{23} - 1)^2 + q_{23}(q_{12} - 1)^2(q_{13} - 1)^2$$

and  $F' := \Delta' H' + G'$ .

If  $M$  is any  $\Gamma_3$ -orbit in  $N'_3$  we denote by  $S_M$  the  $\Gamma_3$ -invariant function given by  $S_M := \sum_{m \in M} q^m$ .

Let  $W'$  be the unit cube in  $\mathbb{Q} \otimes N'_3$ , i.e.

$$W' = \left\{ \sum_{i < j} m_{ij} e_i e_j : -1 \leq m_{ij} \leq +1 \right\}.$$

LEMMA 1.4.1:  $W' \cap N'_3$  consist of the following  $\Gamma_3$ -orbits:  $T_0 = \{0\}, T_1 = \Gamma_3 e_{12}, T_2 = \Gamma_3(e_{12} + e_{13}), T_3 = \Gamma_3 e, T'_3 = \Gamma_3(-e)$  where  $e := e_{12} + e_{13} + e_{23}$ .

We leave the proof to the reader.

Let  $S_i := S_{T_i}$ . Then

$$S_0 := 1,$$

$$S_1 = q_{12} + q_{13} + q_{23} + q_{12}^{-1} + q_{13}^{-1} + q_{23}^{-1},$$

$$S_3 = q_{12}q_{13}q_{23} + q_{12}^{-1}q_{13}^{-1}q_{23} + q_{12}^{-1}q_{13}q_{23}^{-1} + q_{12}q_{13}^{-1}q_{23}^{-1}.$$

PROPOSITION 1.4.2:

(i)  $\text{conv}(q^{-e}F')$  is the tetrahedron  $T$  spanned by

$$T_3 = \{e, -e_{12} - e_{13} + e_{23}, -e_{12} + e_{13} - e_{23}, e_{12} - e_{13} - e_{23}\}$$

which consists of the “even” corners of  $W'$ .  $T_1$  consists of the centers of the edges of  $T$  while  $T_0$  is the center of  $T$ .

(ii)  $q^{-e}F' = S_3 - 2S_1 + 8S_0$  and  $q^{-e}F'$  is  $\Gamma_3$ -invariant.

*Proof:* If  $m = 2e_{12} + 2e_{13} + e_{23}$  one finds that  $\chi_m(G') = 1$  and  $\chi_m(\Delta'H') = -1$  and thus  $\chi_{m-e}(q^{-e}F') = 0$ . Also  $\chi_{2e}(G') = 0$  and  $\chi_{2e}(\Delta'H') = 1$  and thus  $\chi_e(q^{-e}F') = 1$ .

If  $m = 2e_{12} + e_{13} + e_{23}$  one finds that  $\chi_m(G') = -4$  and  $\chi_m(\Delta'H') = 2$  and thus

$$\chi_{e_{12}}(q^{-e}F') = -2.$$

Also  $\chi_e(G') = 3 \cdot 4$  and  $\chi_e(\Delta'H') = -4$  and thus  $\chi_0(q^{-e}F') = 8$ . Furthermore  $\chi_0(G') = \chi_0(\Delta'H') = 0$  and thus  $\chi_{-e}(q^{-e}F') = 0$ . This proves (i) and (ii).

Let  $k$  be a field of characteristic  $p$ .

PROPOSITION 1.4.3: If  $p = 2$ , then  $1 \otimes F' = (q_{12}q_{13}q_{23} + q_{12} + q_{13} + q_{23})^2$  in  $k \otimes \mathbb{Z}[N'_3]$ . If  $p \neq 2$ , then  $1 \otimes F'$  is irreducible.  $F'$  is irreducible in  $\mathbb{Z}[N'_3]$ .

This is achieved by simple computations. Also we note that  $F'$  vanishes at the matrix

$$\eta = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{pmatrix}$$

because  $S_M(\eta) = \#M$  and  $\#T_3 = 4, \#T_1 = 6, \#T_0 = 1$  and therefore

$$F'(\eta) = \#T_3 - 2\#T_1 + 8 = 0.$$

The polynomial  $H'$  can be expressed as a theta function, in fact:

$$H' = q_{12}\Theta_2\left(q'^2, -\frac{q_{13}}{q_{12}}, -\frac{q_{23}}{q_{12}}\right)$$

where  $\Theta_2(q, x) = 1 - x_1 - x_2 + q_{12}x_1x_2$  and where

$$q' = \begin{pmatrix} 0 & q_{12} \\ q_{12} & 0 \end{pmatrix}, \quad q'^2 = \begin{pmatrix} 0 & q_{12}^2 \\ q_{12}^2 & 0 \end{pmatrix}.$$

5. In this section we take  $g = 4$ . Let

$$\Delta := \prod_{1 \leq i < j \leq 4} (q_{ij} - 1) = (q_{12} - 1)(q_{13} - 1)(q_{23} - 1) \cdot (q_{14} - 1)(q_{24} - 1)(q_{34} - 1).$$

Let

$$H := q_{12}q_{13}q_{14}q_{23}q_{24}q_{34}q_{12}q_{14}q_{24} - q_{13}q_{14}q_{34} - q_{23}q_{24}q_{34} - q_{12}q_{13}q_{23} + q_{23}q_{14} + q_{13}q_{24} + q_{12}q_{34}.$$

Let

$$G := q_{12}q_{34}(q_{13} - 1)^2(q_{14} - 1)^2(q_{23} - 1)^2(q_{24} - 1)^2 + q_{13}q_{24}(q_{12} - 1)^2(q_{14} - 1)^2(q_{23} - 1)^2(q_{34} - 1)^2 + q_{14}q_{23}(q_{12} - 1)^2(q_{13} - 1)^2(q_{24} - 1)^2(q_{34} - 1)^2$$

and  $F := \Delta H - G$ .

PROPOSITION 1.5.1:  $q^{-e}\Delta H, q^{-e}G$  and  $q^{-e}F$  are  $\Gamma_4$ -invariant where

$$e := \sum_{i < j} e_{ij} = e_{12} + e_{13} + e_{14} + e_{23} + e_{24} + e_{34}.$$

Proof: If  $\gamma \in \bar{\Gamma}_4$  is a permutation of  $e_1, e_2, e_3, e_4$ , then  $\gamma\Delta = \Delta, \gamma H = H, \gamma G = G$ . If

$$\gamma = \epsilon_1, \quad \epsilon_1 e_i := \begin{cases} e_i & : i > 1, \\ -e_1 & : i = 1, \end{cases}$$

then

$$\begin{aligned} \epsilon_1 \Delta &= (-1) \frac{1}{q_{12}q_{13}q_{14}} \cdot \Delta, \\ \epsilon_1 H &= (-1) \frac{1}{q_{12}q_{13}q_{14}} \cdot H, \\ \epsilon_1 G &= \frac{1}{q_{12}^2 q_{13}^2 q_{14}^2} \cdot G. \end{aligned}$$

One concludes that

$$\begin{aligned} \epsilon_1 q^{-e} \Delta H &= q^{-e} \Delta H, \\ \epsilon_1 q^{-e} G &= q^{-e} G. \end{aligned}$$

As  $\gamma \epsilon_1 \gamma^{-1} = \epsilon_i$  for some  $\gamma \in \Gamma_g$  we are through with the proof. ■

One can express  $H$  as a theta function. If

$$\Theta_3(q, x) = 1 - x_1 - x_2 - x_3 + q_{12}x_1x_2 + q_{13}x_1x_3 + q_{23}x_2x_3 - q_{12}q_{13}q_{23}x_1x_2x_3,$$

one gets:

$$H = -(q_{12}q_{13}q_{23})\Theta_3\left(q'^2, \frac{x_1}{q_{12}q_{13}}, \frac{x_2}{q_{12}q_{23}}, \frac{x_3}{q_{13}q_{23}}\right)$$

where

$$q' = \begin{pmatrix} 0 & q_{12} & q_{13} \\ q_{12} & 0 & q_{23} \\ q_{13} & q_{23} & 0 \end{pmatrix}, \quad q'^2 := (q_{ij}^2)$$

and  $x_i = q_{4i}$ .

Denote by  $f|_{q_{i4}=-1}$  the function obtained from  $f \in \mathbb{Z}[N'_4]$  by substituting  $(-1)$  for  $q_{14}, q_{24}$  and  $q_{34}$ . Then

$$\begin{aligned} \Delta|_{q_{i4}=-1} &= (-1) \cdot 2^3 \Delta', \\ H|_{q_{i4}=-1} &= (-2) H', \\ G|_{q_{i4}=-1} &= (-1) 2^4 G', \\ F|_{q_{i4}=-1} &= 2^4 \cdot F'. \end{aligned}$$

**PROPOSITION 1.5.2:**  $F$  is irreducible in  $\mathbb{Z}[N'_4]$ .

*Proof:* Assume that  $F$  is reducible in  $\mathbb{Z}[N'_4]$ . As  $\chi_{2e}(F) = 1$ ,  $F$  is primitive and thus each prime factor of  $F$  is also primitive.

There must exist a prime factor  $f$  of  $F$  such that  $f|_{q_{i4}=-1} = 2^r \cdot F'$ ,  $0 \leq r \leq 4$ . But then  $\text{ht}_{\eta_{ij}} f = 2$  for all  $i < j$  which shows that  $f = \pm q^m F$ .

### 2. Periods of Totally Degenerate Curves

1. Let  $C$  be a stable totally degenerate curve of genus  $g \geq 2$  over a field  $k$ . One can find stable,  $2g$ -marked trees of projective lines  $X = (\tilde{C}, a, b)$  such that  $C = \tilde{C} \bmod a = b$  where  $a = (a_1, \dots, a_g)$ ,  $b = (b_1, \dots, b_g)$  are  $g$ -tuples of  $k$ -rational points on  $\tilde{C}$ , see [GHP], [G]. There is a canonical base  $\omega_1, \dots, \omega_g$  of differentials of first kind on  $C$  such that  $\text{res}_{a_i} \omega_i = +1$ ,  $\text{res}_{b_i} \omega_i = -1$ ,  $\omega_i$  without poles on  $C - [a_i, b_i]$ , where  $[a_i, b_i]$  denote the set of double points in  $\tilde{C}$  between  $a_i$  and  $b_i$ , see [G], §1 also [H], Chap IV, §1, Exerc. 1.9, p. 298.

Let

$$E_X := \{u = (u_1, \dots, u_g), u_i \text{ rational function on } C \text{ such that } \frac{du_i}{u_i} = \omega_i\}.$$

Let per  $u$  be the  $g \times g$  matrix  $\eta = (\eta_{ij})$  such that

$$\eta_{ij} = \frac{u_i(a_j)}{u_i(b_j)} \quad \text{for } i \neq j, \quad \eta_{ii} = 0.$$

Let  $G(C)$  be the intersection graph of  $C$ .

*Definition:*  $G(C)$  is simple, if two simple closed unoriented paths  $\gamma, \gamma'$  in  $G(C)$ ,  $\gamma \neq \gamma'$ , have no common edge.

**PROPOSITION 2.1.1:** *Assume that  $G(C)$  is simple. Then the following holds:*

- (i) *For any  $u \in E_X$  the matrix per  $u$  is symmetric and its entries outside the diagonal are in  $k^*$ .*
- (ii) *per  $u = \text{per } u'$  for any  $u, u' \in E_X$*

*Proof:* Let  $i \neq j$ . There is a unique component  $Y$  of  $X$  such that  $\pi_Y(a_j) \neq \pi_Y(a_i)$ ,  $\pi_Y(a_j) \neq \pi_Y(b_i)$  where  $\pi_Y$  denotes the projection from  $X$  onto  $Y$ . As the interval from  $a_i$  to  $b_i$  in  $X$  has no common double point with the interval from  $a_j$  to  $b_j$ , also  $\pi_Y(b_j) \neq \pi_Y(a_i)$ ,  $\pi_Y(b_j) \neq \pi_Y(b_i)$ . Then  $u_i(a_j)/u_i(b_j)$  is the cross-ratio of the points  $\pi_Y(a_i)$ ,  $\pi_Y(b_i)$ ,  $\pi_Y(b_j)$ ,  $\pi_Y(a_j)$  which is an element in  $k^*$ . This shows (i) and (ii).

*Definition:* per  $X := \text{per } u$  for  $u \in E_X$  if  $G(C)$  is simple. per  $X$  is called the period matrix of  $X$ ; it is a  $k$ -valued point of  $Q_g$ .

2. Let  $B_{2g}$  be the fine moduli scheme of stable  $2g$ -marked trees of projective interval, see [GHP]. Let  $B_{2g}^*$  be the open subscheme of  $B_{2g}$  which consists of the simple  $2g$ -marked trees which shall mean that the graph obtained from the



tree by adding edges between  $a_i$  and  $b_i$  is simple.  $B_{2g}^*$  is open because it is the complement of the union of all hypersurfaces  $\{\lambda_{i,g+i,g+j} = 0\}$  where  $\lambda_\nu$  denotes the canonical variable associated to the quadruple  $\nu = (\nu_1, \nu_2, \nu_3, \nu_4)$ ,  $\nu_i \in \{1, \dots, 2g\}$  on  $B_{2g}$ , see [GHP], §1.

There is a morphism  $\text{per}_g : B_{2g}^* \rightarrow Q_g$  with the following property: if  $X$  is a  $k$ -valued point of  $B_{2g}^*$ , it is a stable  $2g$ -marked tree of projective lines, then  $\text{per}_g(X) = \text{per}X \in Q_g(k)$ .

**PROPOSITION 2.2.1:**  $\text{per}_g(B_{2g}^*)$  is a Zariski-closed subscheme of  $Q_g$  and  $\text{per}_g$  is proper.

*Proof:* Let  $M := \{(i, j) : 1 \leq i < j \leq g\}$ . One embeds  $Q_g$  into the  $M$ -fold product  $\mathbb{P}_1^M$  by means of the variables  $q_{ij}, i < j$ . Then  $\mathbb{P}_1^M$  is a torus embedding of  $Q_g$ . The morphism  $\text{per}_g$  can be extended to a morphism  $\widehat{\text{per}}_g : B_{2g} \rightarrow \mathbb{P}_1^M$  sending a point  $X$  in  $B_{2g}$  onto the matrix  $\eta = (\eta_{ij}), \eta_{ij} := \lambda_{i,-i,-j,j}(X)$ .

Thus  $\widehat{\text{per}}_g(B_{2g})$  is a closed subscheme of  $\mathbb{P}_1^M$  as  $B_{2g}$  is a projective scheme over  $\mathbb{Z}$ . One can easily check that a point of  $\widehat{\text{per}}_g(B_{2g})$  is in  $Q_g \subseteq \mathbb{P}_1^M$  if and only if  $X$  is a simple  $2g$ -marked tree.

Thus  $\widehat{\text{per}}_g(B_{2g}) \cap Q_g = \text{per}_g(B_{2g}^*)$ .

*Definition:*  $P_g := \text{per}_g(B_{2g}^*)$ . It is called the scheme of period matrices of totally degenerate curves with simple intersection graph.

The question to characterize  $P_g$  within  $Q_g$  is the analogue of the Schottky problem for totally degenerate curves, see e.g. [F], [MF], appendix to Chap. 7. It is hoped that a characterization of  $P_g$  will shed some light on the Schottky problem.

Of course  $P_g = Q_g$  for  $g \leq 3$ . But  $P_4$  is a hypersurface in  $Q_4$

3.  $\Gamma_g$  acts on  $B_{2g}$  from the right. If  $X = (Y, a, b)$  is a  $k$ -valued point of  $B_{2g}, \gamma \in \Gamma_g$ , then  $X\gamma = (Y, a^\gamma, b^\gamma)$  and  $a^\gamma, b^\gamma$  are defined as follows: if  $\gamma(e_i) = e_j$ , then  $a_i^\gamma := a_j$  and  $b_i^\gamma := b_j$ , and if  $\gamma(e_i) = -e_j$ , then  $a_i^\gamma := b_j$  and  $b_i^\gamma := a_j$ .

$\Gamma_g$  acts also on the open subscheme  $B_{2g}^*$  and the period map  $\text{per}_g : B_{2g}^* \rightarrow Q_g$  is  $\Gamma_g$ -equivariant.

Let

$$\epsilon = \epsilon_1 \epsilon_2 \cdots \epsilon_g, \quad \epsilon_i(e_j) := \begin{cases} e_j & : j \neq i, \\ -e_i & : j = i. \end{cases}$$

Then  $\epsilon$  acts trivially on  $Q_g$  while it acts non-trivially on  $B_{2g}^*$  if  $g \geq 3$ . Thus  $\text{per}_g$  induces a morphism  $\overline{\text{per}}_g$  from the quotient  $B_{2g}^* / \langle \epsilon \rangle$  into  $Q_g$  which is called the **reduced period map**.

Let  $X = (Y, a, b)$  be a  $2g$ -marked, stable tree of projective lines. Let  $C(X) = Y \text{ mod } a = b$  be the stable curve obtained from  $Y$  by identifying  $a_i$  with  $b_i$  for all  $i$ . Then  $C(X)$  is a stable curve of genus  $g$  which is called the **curve associated to  $X$** .

There is a reduced scheme  $D_g$  which is the categorical quotient of  $B_{2g}$  modulo the equivalence relation:  $X \sim X'$  iff  $C(X)$  is isomorphic to  $C(X')$ .

$D_g$  is the coarse reduced moduli scheme of totally degenerate stable curves of genus  $g$ . There is a surjective morphism  $\pi : B_{2g}/\Gamma_g \rightarrow D_g$ . The fiber of  $\pi$  over a curve  $C \in D_g(k)$  is parametrized by the maximal subtrees of the graph  $G(C)$  of  $C$ .

By considerations as in the proof of Proposition (2.1.1), one can show:

**PROPOSITION 2.3.1:**  *$\text{per}_g$  induces a morphism  $\text{tor}_g : D_g \rightarrow Q_g/\Gamma_g$  which is called the **Torelli map** for totally degenerate, stable curves of genus  $g$ .*

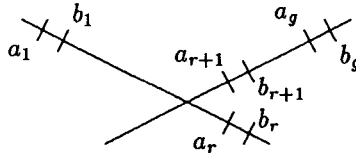
The scheme  $Q_g/\Gamma_g$  is the analogue to the moduli space of principally polarized abelian varieties of genus  $g$ .

4. Let  $\nu \subset \{1, 2, \dots, 2g\}$ ,  $\#\nu \geq 2$ , and  $B_{2g}(\nu)$  the closed subscheme of  $B_{2g}$  which contains all the  $2g$ -marked trees of projective lines with two components  $X_1, X_2$  such that  $a_i \in X_1$  for all  $i \in \nu$  while  $a_i \in X_2$  for all  $i \notin \nu$ . Here  $a_i := b_{i-g}$  if  $i \geq g+1$ .

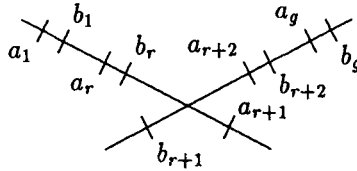
Then an open subscheme of  $B_{2g}(\nu)$  is in  $B_{2g}^*$  iff one of the following cases occurs:

- (i) there is a subset  $\nu' \subset \{1, \dots, g\}$  such that  $\nu = \nu' \cup \{g+i : i \in \nu'\}$ ,
- (ii) there is a subset  $\nu' \subset \{1, \dots, g\}$  and an element  $k \in \{1, \dots, 2g\} - \nu'$ ,  $k \notin \nu', k-g \notin \nu'$  such that  $\nu = \nu' \cup \{g+i : i \in \nu'\} \cup \{k\}$ .

If  $\nu' = \{1, \dots, r\}$ , then in case (i) the generic  $2g$ -marked curve looks as follows:



If  $\nu' = \{1, \dots, r\}$ ,  $k = r + 1$ , then in case (ii) the generic  $2g$ -marked curve looks as follows:



**PROPOSITION 2.4.1:** Let  $X$  be a  $k$ -valued point of  $B_{2g}(\nu) \cap B_{2g}^*$  and assume that  $\nu$  is of type (i). Then if  $\eta = \text{per} X$  one has

$$\eta_{ij} = 1$$

if  $i \in \nu'$ ,  $j \in \{1, \dots, g\} - \nu'$ . If  $X$  has two components and if  $g \geq 3$ , the fiber of the period map  $\text{per}_g$  over  $X$  is 1-dimensional if  $r$  or  $g - r$  is equal to 2. Otherwise  $\dim \text{per}_g^{-1}(X) = 2$ .

**Remark:** If  $\nu' = \{1, \dots, r\}$  then  $\text{per} X$  is of the block form

$$\begin{pmatrix} \eta' & 1 \\ 1 & \eta'' \end{pmatrix},$$

$\eta'$  is a  $r \times r$  matrix,  $\eta''$  is a  $(g - r) \times (g - r)$  matrix.

**PROPOSITION 2.4.2:** Let  $X$  be a  $k$ -valued point of  $B_{2g}(\nu) \cap B_{2g}^*$  and assume that  $\nu$  is of type (ii).

For  $\eta = \text{per} X$  one has

$$\eta_{ij} = 1$$

if  $i \in \nu'$ ,  $j \in \{1, \dots, g\} - (\nu' \cup \{k\})$ .

Remark: If  $\nu' = \{1, \dots, r\}$ ,  $k = r + 1$ , then  $\text{per}X$  has the form

$${}^{r+1}_r \left( \begin{array}{c|c} & \\ \hline & 1 \\ \hline & \\ \hline 1 & \end{array} \right)$$

where the 1 in the upper half denotes a  $r \times (g - r - 1)$  matrix. The proofs of (2.4.1) and (2.4.2) are left to the reader.

5. Let  $B_{2g}^{\text{hyp}}$  be the closed subscheme of  $B_{2g}$  of fixed points of the automorphism on  $B_{2g}$  given by the action of  $\epsilon = \epsilon_1 \epsilon_2 \cdots \epsilon_g$  on  $B_{2g}$ .

A  $k$ -valued point  $X$  of  $B_{2g}$  belongs to  $B_{2g}^{\text{hyp}}$  iff there is an automorphism  $\sigma_X$  of the tree of projective lines underlying  $X$  which maps  $a_i$  to  $b_i$  and  $b_i$  to  $a_i$  for all  $i$ . Then  $\sigma_X \circ \sigma_X = \text{id}$  and  $\sigma_X$  is uniquely determined by  $X$ . If  $X$  is irreducible,  $X = (\mathbb{P}_1 \times k, a, b)$  and if  $z$  is global coordinate on  $\mathbb{P}_1 \times k$  such that

$$z(a_i) = x_i, z(b_i) = y_i, x_1 = 0, y_1 = \infty$$

then  $X$  is hyperelliptic (i.e.  $X \in B_{2g}^{\text{hyp}}$ ) iff  $x_i y_i = x_j y_j$  for all  $i, j \geq 2$  and  $\sigma_X$  is the involution  $z \mapsto 1/z$ .

Proof: Let  $X^\epsilon = (\mathbb{P}_1 \times k, a', b')$ . If  $z(a'_i) = x'_i, z(b'_i) = y'_i, x'_1 = 0, y'_1 = \infty$ , then  $x'_i = 1/y_i, y'_i = 1/x_i$ . Thus  $X^\epsilon$  is isomorphic to  $X$  iff there is  $\lambda \in k^*$  such that  $(\lambda x'_i, \lambda y'_i) = (x_i, y_i)$  for all  $i \geq 2$ . This is the case iff  $x_i y_i = \lambda$  for all  $i \geq 2$ .

■

Let  $P_g^{\text{hyp}} := \text{per}_g(B_{2g}^{\text{hyp}} \cap B_{2g}^*)$ . It is a closed subscheme of  $Q_g$ . While  $P_2^{\text{hyp}} = Q_2$ , already  $P_3^{\text{hyp}}$  is a hypersurface in  $Q_3$ .

6. Let  $X = (\mathbb{P}_1 \times k, a, b)$  be a  $2g$ -marked, stable projective line over a field  $k$ . Introduce a global coordinate  $z$  on  $\mathbb{P}_1 \times k$  such that  $z(a_1) = 0, z(b_1) = \infty, z(a_2) = 1$  and put  $x_i := z(a_i), y_i = z(b_i) \in k$  for  $i \geq 2$ . Then  $\text{per}_g X$  is the  $g \times g$  matrix  $v = (v_{ij})$  such that

$$v_{1i} = \frac{x_i}{y_i} \quad \text{for } i \geq 2,$$

$$v_{ij} = \frac{\left(\frac{x_i - x_j}{x_i - y_j}\right)}{\left(\frac{y_i - x_j}{y_i - y_j}\right)} = \frac{(x_i - x_j)(y_i - y_j)}{(x_i - y_j)(y_i - x_j)} \quad \text{for } 2 \leq i < j \leq g.$$

Let

$$t_{ij} := \frac{x_i}{y_j} + \frac{x_j}{y_i} \quad \text{for } 2 \leq i < j \leq g.$$

Then

$$t_{ij} = v_{1j} \frac{x_i}{x_j} + v_{1i} \frac{x_j}{x_i}.$$

LEMMA 2.6.1: For  $2 \leq i < j \leq g$  one has

$$t_{ij} = \frac{(v_{1i}v_{1j} + 1)v_{ij} - (v_{1i} + v_{1j})}{(v_{ij} - 1)}.$$

Proof:

$$\begin{aligned} v_{ij} &= \frac{(x_i y_i + x_j y_j) - (x_i y_j + x_j y_i)}{(x_i y_i + x_j y_j) - (x_i x_j + y_i y_j)} \\ &= \frac{\left(\frac{x_i}{y_j} + \frac{x_j}{y_i}\right) - \left(\frac{x_i}{y_i} + \frac{x_j}{y_j}\right)}{\left(\frac{x_i}{y_j} + \frac{x_j}{y_i}\right) - \left(\frac{x_i x_j}{y_i y_j} + 1\right)} \\ &= \frac{t_{ij} - (v_{1i} + v_{1j})}{t_{ij} - (v_{1i}v_{1j} + 1)} \end{aligned}$$

which shows the lemma. ■

### 3. Periods of Hyperelliptic Curves of Genus 3

1. Let  $X = (\mathbb{P}_1 \times k, a, b)$  be a 6-marked, stable, hyperelliptic projective line over  $k$ . We use the notation of (2.6) to obtain

$$t_{23} = \frac{x_2}{y_3} + \frac{x_3}{y_2} = \frac{1}{y_3} + \frac{1}{y_3} = \frac{2}{y_3}$$

as  $x_3 y_3 = x_2 y_2 = y_2$ . As  $v_{12} = 1/y_2$ ,  $v_{13} = x_3/y_3 = y_2/y_3^2$  one gets

$$4v_{12}v_{13} = t_{23}^2.$$

As

$$t_{23} = \frac{(v_{12}v_{13} + 1)v_{23} - (v_{12} + v_{13})}{(v_{23} - 1)}$$

one has the equation

$$4v_{12}v_{13}(v_{23} - 1)^2 = A^2$$

with

$$\begin{aligned} A &= (v_{12}v_{13} + 1)v_{23} - (v_{12} + v_{13}) \\ &= (v_{12}v_{13} + 1)(v_{23} - 1) + (v_{12} - 1)(v_{13} - 1). \end{aligned}$$

Let  $\sigma = (v_{12} - 1)(v_{13} - 1)(v_{23} - 1)$ . Then

$$(v_{23} - 1)^2 \cdot [(v_{12}v_{13} + 1)^2 - 4v_{12}v_{13}] + 2\sigma(v_{12}v_{13} + 1) + (v_{12} - 1)^2(v_{13} - 1)^2 = 0.$$

One subtracts

$$g := v_{12}(v_{13} - 1)^2(v_{23} - 1)^2 + v_{23}(v_{12} - 1)^2(v_{23} - 1)^2 + v_{23}(v_{12} - 1)^2(v_{13} - 1)^2$$

on both sides to get

$$(-g) = (v_{23} - 1)^2 B + 2\sigma(v_{12}v_{13} + 1) + (v_{12} - 1)^2(v_{13} - 1)^2 - v_{23}(v_{12} - 1)^2(v_{13} - 1)^2$$

with

$$\begin{aligned} B &= (v_{12}v_{13} + 1)^2 - 4v_{12}v_{13} - v_{12}(v_{13} - 1)^2 - v_{13}(v_{12} - 1), \\ B &= (v_{12}v_{13} - 1)^2 - (v_{13} - 1)^2 - (v_{12} - 1)(v_{13} - 1)^2 - v_{13}(v_{12} - 1)^2, \\ B &= v_{13}^2(v_{12}^2 - 1) - 2v_{13}(v_{12} - 1) - (v_{12} - 1)[(v_{13} - 1)^2 + v_{13}(v_{12} - 1)], \\ B &= (v_{12} - 1) \cdot B', \\ B' &= v_{13}^2(v_{12} + 1) - 2v_{13} - [(v_{13} - 1)^2 + v_{13}(v_{12} - 1)], \\ B' &= v_{13}^2 v_{12} + v_{13}^2 - 2v_{13} - v_{13}^2 + 2v_{13} - 1 - v_{12}v_{13} + v_{13}, \\ B' &= v_{13}^2 v_{12} - v_{13}v_{12} + v_{13} - 1 = (v_{13} - 1)(v_{12}v_{13} + 1). \end{aligned}$$

Thus

$$\begin{aligned} (-g) &= (v_{23} - 1) \cdot \sigma \cdot (v_{12}v_{13} + 1) + 2\sigma(v_{12}v_{13} + 1) - \sigma \cdot (v_{12} - 1)(v_{13} - 1), \\ (-g) &= \sigma(v_{12}v_{13}v_{23} + v_{23} + v_{12} + v_{13}). \end{aligned}$$

This proves if  $F'$  is the function of (1.4).

PROPOSITION 3.1.1:  $F'(v) = 0$ .

COROLLARY:  $P_3^{\text{hyp}}$  is the closed subscheme of  $Q_3$  given by the equation  $F' = 0$ .

*Proof:*  $P_3^{\text{hyp}}$  is a closed subscheme of codim 1 in  $Q_3$ .  $F'$  is contained in the ideal  $I$  of  $\mathcal{O}(Q_3) = \mathbb{Z}[N'_3]$  of functions vanishing on  $P_3^{\text{hyp}}$ . As  $F'$  is irreducible and the height of  $I$  is 1 we get  $I = F' \cdot \mathbb{Z}[N'_3]$ . ■

2. Let  $X = (Y, a, b)$  be a 6-marked, stable tree of projective lines consisting of two components  $Y_1, Y_2$  and assume that  $a_i \in Y_1, b_i \in Y_2$  for all  $i$ . Then the curve  $C(X)$  associated to  $X$  is the union of two projective lines.

$X$  is hyperelliptic if there is an isomorphism  $\sigma : Y_1 \rightarrow Y_2$  such that

$$\sigma(Y_1 \cap Y_2) = Y_1 \cap Y_2, \quad \sigma(a_i) = b_i \quad \text{for all } i.$$

Let  $z_i$  be a global coordinate on  $Y_i$  such that  $\sigma^*z_2 = z_1$  and  $z_i \cdot (Y_1 \cap Y_2) = \infty$ . Then  $x_i := z_1(a_i) = z_2(b_i) =: y_i$ .

Let  $u = (u_1, u_2, u_3) \in E_X^{\text{sym}}(k) := \{u \in E_X(k) : \text{per } u \text{ is symmetric}\}$ , see [G], §1. Then  $v := \text{per } u$  is a totally degenerate, symmetric matrix over  $k$  and

$$v_{ij} = t(x_j - x_i)(y_j - y_i) = t(x_j - x_i)^2$$

for some  $t \in k^*$ .

Let

$$F'_{(2)} = q_{12}^2 + q_{13}^2 + q_{23}^2 - 2q_{12}q_{13} - 2q_{12}q_{23} - 2q_{13}q_{23}$$

be the homogeneous part of  $F'$  of degree 2 relative to  $q_{ij}$ .

PROPOSITION 3.2.1:  $F'_{(2)}(v) = 0$

Proof: Without loss of generality one may assume that  $x_1 = 0, x_2 = 1, t = 1$ . Then  $v_{12} = 1, v_{13} = x_3^2, v_{23} = (x_3 - 1)^2$ . Then

$$v_{12}^2 + v_{13}^2 + v_{23}^2 = 1 + x_3^4 + (x_3^4 - 4x_3^3 + 6x_3^2 - 4x_3 + 1)$$

and

$$\begin{aligned} v_{12}v_{13} + v_{12}v_{23} + v_{13}v_{23} &= x_3^2 + (x_3 - 1)^2 + x_3^2(x_3 - 1)^2 \\ &= x_3^2 + x_3^2 - 2x_3 + 1 + x_3^4 - 2x_3^3 + x_3^2 \\ &= 1 + x_3^4 - 2x_3^3 + 3x_3^2 \\ &= \frac{1}{2}(v_{12}^2 + v_{13}^2 + v_{23}^2). \quad \blacksquare \end{aligned}$$

Let  $\eta : N'_3 \rightarrow \mathbb{Z}$  be the linear map which sends  $m = m_{12}e_1e_2 + m_{13}e_1e_3 + m_{23}e_2e_3$  onto  $m_{12} + m_{13} + m_{23}$ . Then  $\text{conv}(F') \cap \{y \in \mathbb{R} \otimes N'_3 : \eta(y) = 2\}$  is a 2-dimensional face of  $\text{conv}(F')$ . Then the leading term  $\xi_{(-\eta)}(F')$  of  $F'$  relative to  $(-\eta)$  is equal to  $F'_{(2)}$ . It is  $\sum_{\eta(m)=2} \chi_m(F') \cdot q^m$

3. Let  $M$  be a free  $\mathbb{Z}$ -module of finite rank  $n$ . Let  $T$  be a polyhedron in  $\mathbb{R} \otimes M$  whose vertices are in  $\mathbb{Q} \otimes M$ . This means that  $T$  is the convex hull of a finite set of points in  $\mathbb{Q} \otimes M$ .

$T$  defines a rational finite polyhedral cone decomposition  $\sum_T$  of  $\mathbb{R} \otimes \check{M}$  where  $\check{M}$  is the dual  $\mathbb{Z}$ -module of  $M$  as follows:

Let  $t$  be a vertex of  $T$  and  $\sigma_t := \{\eta \in \mathbb{R} \otimes \check{M} : \eta(x) \geq \eta(t) \text{ for all } x \in T\}$ . Then  $\sigma_t$  is an  $n$ -dimensional rational polyhedral cone in  $\mathbb{R} \otimes \check{M}$  and there is a unique polyhedral cone decomposition  $\sum_T$  of  $\mathbb{R} \otimes \check{M}$  whose  $n$ -dimensional cones are the system  $\{\sigma_t : t \text{ vertex of } T\}$ .

Let now  $M = N'_3$  and  $\sum = \sum_{\text{conv}(F')}$ . Then the torus embedding  $Q_\Sigma$  of  $Q_3$  induced by  $\sum$  allows a  $2 : 1$  covering  $\alpha$  onto  $\mathbb{P}_3$ . One can show that the period map  $\text{per}_3 : B_6^{\text{hyp}} \cap B_6^* \rightarrow Q_3$  can be extended uniquely to an extended period map  $\text{per}_3 : B_6^{\text{hyp}} \rightarrow Q_\Sigma$ . The image of  $\alpha \circ \text{per}_3$  in  $\mathbb{P}_3$  seems to be a hypersurface of  $\mathbb{P}_3$  of degree 4.

### 4. Periods of Totally Degenerate Curves of Genus 4

1. Let  $X = (\mathbb{P}_1 \times k, a, b)$  be a 8-marked, stable projective line over  $k$ . We use the notation of (2.6). Thus  $v = (v_{ij}) = \text{per}_4 X$  and

$$t_{ij} = \frac{x_i}{y_j} + \frac{x_j}{y_i}$$

for  $2 \leq i < j$ .

PROPOSITION 4.1.1:

$$t_{23}t_{24}t_{34} + 4v_{12}v_{13}v_{14} = v_{12}t_{34}^2 + v_{13}t_{24}^2 + v_{14}t_{23}^2.$$

Proof: One has

$$t_{23} = v_{13} \frac{1}{x_3} + v_{12}x_3 \quad \text{as } x_2 = 1,$$

$$t_{24} = v_{14} \frac{1}{x_4} + v_{12}x_4,$$

$$t_{34} = v_{14} \frac{x_3}{x_4} + v_{13} \frac{x_4}{x_3},$$

and thus

$$\begin{aligned} t_{23}t_{24} &= v_{13}v_{14} \frac{1}{x_3x_4} + v_{12}v_{13} \frac{x_4}{x_3} + v_{12}v_{14} \frac{x_3}{x_4} + v_{12}^2x_3x_4, \\ t_{23}t_{24}t_{34} &= v_{13}v_{14}^2 \frac{1}{x_4^2} + v_{12}v_{13}v_{14} + v_{12}v_{14}^2 \frac{x_3^2}{x_4^2} + v_{12}^2v_{14}x_3^2 + v_{13}^2v_{14} \frac{1}{x_3^2} + v_{12}v_{13}^2 \frac{x_4^2}{x_3^2} \\ &\quad + v_{12}v_{13}v_{14} + v_{12}^2v_{13}x_4^2, \end{aligned}$$

while

$$v_{12}t_{34}^2 = v_{12}v_{14}^2 \frac{x_3^2}{x_4^2} + v_{12}v_{13}^2 \frac{x_4^2}{x_3^2} + 2v_{12}v_{13}v_{14}.$$



Thus

$$t_{23}t_{24}t_{34} - v_{12}t_{34}^2 = v_{13}v_{14}^2 \frac{1}{x_4^2} + v_{13}^2v_{14} \frac{1}{x_3^2} + v_{12}^2v_{14}x_3^2 + v_{12}^2v_{13}x_4^2.$$

But also

$$\begin{aligned} v_{14}t_{23}^2 &= v_{13}^2v_{14} \frac{1}{x_3^2} + v_{12}^2v_{14}x_3^2 + 2v_{12}v_{13}v_{14}, \\ v_{13}t_{24}^2 &= v_{13}v_{14}^2 \frac{1}{x_4^2} + v_{12}^2v_{13}x_4^2 + 2v_{12}v_{13}v_{14}, \end{aligned}$$

from which follows

$$t_{23}t_{24}t_{34} - v_{12}t_{34}^2 = v_{14}t_{23}^2 + v_{13}t_{24}^2 - 4v_{12}v_{13}v_{14}. \quad \blacksquare$$

Let  $s_{ij} := (v_{ij} - 1)t_{ij}$  and  $\mu := (v_{23} - 1)(v_{24} - 1)(v_{34} - 1)$ ; the equation above then takes the form

$$\begin{aligned} \mu \cdot s_{23}s_{24}s_{34} + 4\mu^2v_{12}v_{13}v_{14} &= v_{12}(v_{23} - 1)^2(v_{24} - 1)^2s_{34}^2 \\ &\quad + v_{13}(v_{23} - 1)^2(v_{34} - 1)^2s_{24}^2 \\ &\quad + v_{14}(v_{24} - 1)^2(v_{34} - 1)^2s_{23}^2. \end{aligned}$$

Let  $S_{ij} := (q_{1i}q_{1j} + 1)q_{ij} - (q_{1i} + q_{1j}) \in \mathcal{O}(Q_4) = \mathbb{Z}[N'_4]$  for  $2 \leq i < j \leq 4$  and  $M := (q_{23} - 1)(q_{24} - 1)(q_{34} - 1)$ . Let

$$\begin{aligned} A &:= M \cdot S_{23} \cdot S_{24} \cdot S_{34}, \\ B_{23} &:= q_{14}(q_{24} - 1)^2(q_{34} - 1)^2S_{23}^2, \\ B_{24} &:= q_{13}(q_{23} - 1)^2(q_{34} - 1)^2S_{24}^2, \\ B_{34} &:= q_{12}(q_{23} - 1)^2(q_{24} - 1)^2S_{34}^2, \\ C &:= 4q_{12}q_{13}q_{14}M^2, \\ B &:= B_{23} + B_{24} + B_{34}, \\ \tilde{F} &:= A + C - B. \end{aligned}$$

COROLLARY 4.1.2:  $\tilde{F}$  vanishes on  $P_4 \subset Q_4$ .

*Proof:* Let  $B_8^{\text{irr}}$  be the open subscheme of  $B_8$  consisting of the 8-marked stable projective lines. Then  $B_8^{\text{irr}}$  is contained and dense in  $B_8^*$ . If  $X$  is a point in  $B_8^{\text{irr}}$ , then  $\tilde{F}(\text{per}_4 X) = 0$  as  $q_{ij}(\text{per}_4 X) = v_{ij}$ . As  $\text{per}_4(B_8^{\text{irr}})$  is dense in  $P_4$ , the statement follows.

2. Let  $\eta_{ij} : N'_4 \rightarrow \mathbb{Z}, i < j$ , be the linear form which sends  $e_k e_l, k < l$ , onto

$$\begin{cases} 1 & : i = k, j = l, \\ 0 & : \text{otherwise.} \end{cases}$$

Let

$$\tilde{W} := \left\{ y \in \mathbb{R} \otimes N'_4 : 0 \leq \eta_{ij}(y) \leq 2, \sum_{1 \leq i < j \leq 4} \eta_{ij}(y) \geq 3 \right\} \quad \text{and} \quad e = \sum_{1 \leq i < j \leq 4} e_{ij}.$$

PROPOSITION 4.2.1:

$$\text{supp} \tilde{F} \subset \tilde{W},$$

$$\chi_{2e}(\tilde{F}) = 1.$$

*Proof:*

(1)  $\text{supp} A \subset \tilde{W}, \text{supp} B_{ij} \subset \tilde{W}, \text{supp} C \subset \tilde{W}$  and thus  $\text{supp}(A + C - B) \subseteq \tilde{W}$ .

(2)  $\chi_{2e}(A) = 1, \chi_{2e}(B_{ij}) = \chi_{2e}(C) = 0$  and thus

$$\chi_{2e}(\tilde{F}) = \chi_{2e}(A) + \chi_{2e}(C) - \chi_{2e}(B) = 1. \quad \blacksquare$$

PROPOSITION 4.2.2:  $\tilde{F}$  is irreducible in  $\mathcal{O}(Q_4)$  and generates the ideal in  $\mathcal{O}(Q_4)$  of functions vanishing on  $P_4$ .

*Proof:*

(1) Let  $I$  be the ideal in  $\mathcal{O}(Q_4)$  of functions vanishing on  $P_4$ . As  $P_4$  is irreducible of codim 1 in  $Q_4$ , the ideal  $I$  is a prime ideal of height 1 and is thus a principal ideal:  $I = f \cdot \mathcal{O}(Q_4), f \in \mathcal{O}(Q_4)$ .  $\tilde{F} \in I$  and thus  $\tilde{F}$  generates  $I$  if  $\tilde{F}$  is irreducible.

(2) Assume that  $\tilde{F}$  is reducible. Let

$$N^+ := \{m \in N'_4 : \eta_{ij}(m) \geq 0\} \quad \text{and} \quad R := \{h \in \mathbb{Z}[N'_4] : \text{supp } h \subseteq N^+\}.$$

Then  $R$  is the ring of polynomials in the variables  $q_{ij}$ .

If  $F'$  is reducible in  $\mathbb{Z}[N'_4]$ , it is also reducible in  $R$  and we may choose a generator  $f$  of  $I$  in  $R$ .

Then there is  $ij$  such that  $\deg_{\eta_{ij}} f = 1$ . As  $I$  is  $\Gamma_4$ -invariant we may assume that  $ij = 34$ .

Let  $M$  be the submodule of  $N'_4$  generated by  $e_1 e_2, e_1 e_3, e_1 e_4, e_2 e_3, e_2 e_4$ . There is a canonical projection  $p : Q_4 \rightarrow \text{Spec} \mathbb{Z}[M]$  induced by the inclusion  $M \subset N'_4$ . In Lemma (5.2.2) it is shown that  $p$  gives generically a 2 : 1 map  $P_4 \rightarrow \text{Spec} \mathbb{Z}[M]$ . This is a contradiction to the fact that  $\deg_{\eta_{34}} f = 1$ .

Thus  $\tilde{F}$  is irreducible.  $\blacksquare$

3. Let  $F$  be the function defined in (1.5).

PROPOSITION 4.3.1:  $F = \tilde{F}$ .

Proof:

(1) Let  $\tilde{F}|_{q_{23}=1}$  be the function obtained from  $\tilde{F}$  by substituting 1 for  $q_{23}$ .

We claim:

$$\tilde{F}|_{q_{23}=1} = -q_{14}(q_{24} - 1)^2(q_{34} - 1)^2(q_{12} - 1)^2(q_{13} - 1)^2.$$

This is true as  $C|_{q_{23}=1} \equiv 0$ ,  $B_{24}|_{q_{23}=1} \equiv 0$ ,  $B_{34}|_{q_{23}=1} \equiv 0$ ,  $A|_{q_{23}=1} \equiv 0$  and  $B_{23}|_{q_{23}=1} = q_{14}(q_{24} - 1)^2(q_{34} - 1)^2 \cdot S_{23}^2|_{q_{23}=1}$  and

$$S_{23} = ((q_{23} - 1) + 1)(q_{12}q_{13} + 1) - (q_{12} + q_{13}) = (q_{23} - 1)(q_{12}q_{13} + 1) + (q_{12} - 1)(q_{13} - 1)$$

and  $S_{23}|_{q_{23}=1} = (q_{12} - 1)(q_{13} - 1)$ . It follows that  $\tilde{F}|_{q_{23}=1} \equiv -G|_{q_{23}=1}, G$  as in (1.5).

(2) Let  $V$  be the closed subscheme of  $Q_4$  given by the equation

$$\Delta = 0, \quad \Delta := \prod_{i < j} (q_{ij} - 1).$$

Then  $(\tilde{F} + G)|_V \equiv 0$ .

This is true because  $(\tilde{F} + G)|_{q_{ij}=1} \equiv 0$  for all  $i, j$  as  $\tilde{F}, G$  are invariant under the action of the permutation group  $\tilde{\Gamma}_4$ . It follows that  $\tilde{F} + G = \Delta \cdot \tilde{H}$  for some  $\tilde{H} \in \mathbb{Z}[N'_4]$ .

(3)  $\tilde{H}$  generates a  $\Gamma_4$ -invariant ideal of  $\mathcal{O}(Q_4)$  and for any  $m \in \text{supp } \tilde{H}$  one has  $\eta_{ij}(m) \in \{0, 1\}$ . Thus

$$\tilde{H} = f_0 + f_1q_{14} + f_2q_{24} + f_3q_{34} + f_{12}q_{14}q_{24} + f_{13}q_{14}q_{34} + f_{23}q_{24}q_{34} + f_{123}q_{14}q_{24}q_{34}$$

with  $f_v \in \mathbb{Z}[q_{12}, q_{13}, q_{23}]$ .

If  $\tilde{H}(1/q_{14}, 1/q_{24}, 1/q_{34})$  denotes the function obtained from  $\tilde{H}$  by replacing  $q_{i4}$  by  $q_{i4}^{-1}$  we get

$$\tilde{H}\left(\frac{1}{q_{14}}, \frac{1}{q_{24}}, \frac{1}{q_{34}}\right) = (-q_{14}q_{24}q_{34})^{-1} \cdot \tilde{H},$$

from which one gets  $f_0 = -f_{123}$ ,  $f_1 = -f_{23}$ ,  $f_2 = -f_{13}$ ,  $f_3 = -f_{12}$ . As  $f_{123} = q_{12}q_{13}q_{23}$  and  $\text{supp } \Delta \tilde{H} \subset \tilde{W}$  one gets  $\tilde{H} = H$ . ■

**5. Curves of Genus  $\geq 5$**

1. Let  $g \geq 4$  and  $\nu = (\nu_1, \nu_2, \nu_3, \nu_4)$  be a quadruple of distinct indices in  $\hat{g} = \{1, \dots, g\}$ . Then  $\nu$  determines a  $\mathbb{Z}$ -linear map  $\nu' : N'_4 \rightarrow N'_g$  which maps  $e_i \cdot e_j$  of  $N'_4$  onto  $e_{\nu_i} e_{\nu_j}$  in  $N'_g$ . Then  $\nu'$  induces a ring homomorphism  $\nu' : \mathbb{Z}[N'_4] \rightarrow \mathbb{Z}[N'_g]$  and a morphism  $Q_g \rightarrow Q_4$  which will be denoted by  $\nu_Q$ . One has the property:

$$\nu_Q(P_g) \subseteq P_4$$

for any  $\nu$ .

Let  $F_\nu := \nu'(F) \in \mathbb{Z}[N'_g] = \mathcal{O}(Q_g)$ . Then  $F_\nu|_{P_g} \equiv 0$  for any  $\nu$ . Let  $Q_g^* = Q_g - \{\Delta_g = 0\}$  where

$$\Delta_g := \prod_{1 \leq i < j \leq g} (1 - q_{ij}).$$

A  $k$ -valued point  $v = (v_{ij})$  of  $Q_g$  belongs to  $Q_g^*$  if and only if  $v_{ij} \neq 1$  for all  $i < j$ . Let  $I$  be the ideal in  $\mathcal{O}(Q_g^*)$  generated by  $\{F_\nu : \text{all } \nu\}$ .

**PROPOSITION 5.1.1:** *The set of zeroes of  $I$  in  $Q_g^*$  coincides with  $Q_g^* \cap P_g$ .*

The proof will be given in (5.3) below.

2. Denote by  $B_{2g}^{\text{irr}}$  the open subscheme of  $B_{2g}$  consisting of those trees of projective lines with only one component.

**PROPOSITION 5.2.1:** *Let  $X, X'$  be  $k$ -valued points of  $B_{2g}^{\text{irr}}$  such that  $\text{per } X = \text{per } X'$ . Then either  $X' = X$  or  $X' = X^\epsilon$ ,  $\epsilon := \epsilon_1 \cdot \dots \cdot \epsilon_g$ .*

The proof will be given at the end of section (5.2) below.

Let  $X = (\mathbb{P}_1 \times k, a, b)$ ,  $X' = (\mathbb{P}_1 \times k, a', b')$  and let  $z$  be a global coordinate on  $\mathbb{P}_1 \times k$  such that  $x_i = z(a_i)$ ,  $y_i = z(b_i)$ ,  $x'_i = z(a'_i)$ ,  $y'_i = z(b'_i)$ . We can choose  $z$  such that  $x_1 = x'_1 = 0$ ,  $y_1 = y'_1 = \infty$ ,  $x_2 = x'_2 = 1$ . Then  $X$  is isomorphic to  $X'$  iff  $x_i = x'_i$ ,  $y_i = y'_i$  for all  $i$ .

Let  $v = \text{per } X$ ,  $v' = \text{per } X'$ .

**LEMMA 5.2.2:** *Assume that*

$$v_{1i} = v'_{1i},$$

$$v_{2i} = v'_{2i},$$

for all  $i$ . Then  $y_2 = y'_2$  and for all  $i \geq 3$  one gets:

$$x'_i = x_i \quad \text{and} \quad y'_i = y_i$$

or

$$x'_i = \frac{v_{1i}}{v_{12}} \frac{1}{x_i} \quad \text{and} \quad y'_i = \frac{v_{1i}}{v_{12}} \frac{1}{y_i}.$$

*Proof:* If  $v_{1i} = v'_{1i}$  for  $i \geq 2$ , there is  $\lambda_i \in k^*$  such that

$$x'_i = \lambda_i x_i, \quad y'_i = \lambda_i y_i$$

because  $v'_{1i} = x'_i/y'_i$  and  $v_{1i} = x_i/y_i$ . Let

$$t_{ij} = \frac{x_i}{y_j} + \frac{x_j}{y_i}, \quad t'_{ij} = \frac{x'_i}{y'_j} + \frac{x'_j}{y'_i}$$

as in (2.6). Then

$$t_{ij} = \frac{(v_{1i}v_{1j} + 1)v_{ij} - (v_{1i} + v_{1j})}{(v_{ij} - 1)}$$

and similarly for  $t'_{ij}$ . Thus one gets  $t_{2i} = t'_{2i}$  for all  $i \geq 3$  from  $v_{2i} = v'_{2i}$ ,  $v_{1i} = v'_{1i}$ .

Now

$$\begin{aligned} t_{ij} &= v_{1j} \frac{x_i}{x_j} + v_{1i} \frac{x_j}{x_i}, \\ t'_{ij} &= v_{1j} \frac{x'_i}{x'_j} + v_{1i} \frac{x'_j}{x'_i} \\ &= v_{1j} \frac{\lambda_i x_i}{\lambda_j x_j} + v_{1i} \frac{\lambda_j x_j}{\lambda_i x_i}, \end{aligned}$$

and one gets for all  $i \geq 2$ :

$$v_{1i} \frac{x_2}{x_i} + v_{12} \frac{x_i}{x_2} = v_{1i} \frac{\lambda_2 x_2}{\lambda_i x_i} + v_{12} \frac{\lambda_i x_i}{\lambda_2 x_2}.$$

As  $x_2 = x'_2$  one gets from  $v_{12} = v'_{12}$  that  $y_2 = y'_2$  and  $\lambda_2 = 1$ . Thus for  $i \geq 3$ :

$$v_{1i} \frac{1}{x_i} + v_{12} x_i = v_{1i} \frac{1}{\lambda_i x_i} + v_{12} \lambda_i x_i.$$

Solving this quadratic equation for  $\lambda_i$  gives two solutions:

$$\lambda_i = 1 \quad \text{or} \quad \lambda_i = \frac{v_{1i}}{v_{12}} \frac{1}{x_i^2} = \frac{y_2}{x_i y_i}.$$

Thus  $x'_i = x_i$  and  $y'_i = y_i$  or

$$x'_i = \frac{v_{1i}}{v_{12}} \frac{1}{x_i} = \frac{x_i \cdot y_2}{y_i x_2} \cdot \frac{1}{x_i} = \frac{y_2}{y_i}$$

and

$$y'_i = \frac{v_{1i}}{v_{12}} \frac{1}{x_i^2} \cdot y_i = \frac{y_2}{x_i}. \quad \blacksquare$$

*Remark:* If  $X$  is hyperelliptic, then  $X = X'$  whenever the assumptions of (5.2.2) are fulfilled.

LEMMA 5.2.3: Assume that  $v_{1i} = v'_{1i}$ ,  $v_{2i} = v'_{2i}$ ,  $v_{3i} = v'_{3i}$  for all  $i$ . If

$$X' \neq X \quad \text{and} \quad X' \neq X^\epsilon$$

then  $x_3 y_3 = y_2$ .

*Proof:*

(1)  $v_{3i} = v'_{3i}$  iff  $t_{3i} = t'_{3i}$  and

$$\begin{aligned} t_{3i} &= v_{1i} \frac{x_3}{x_i} + v_{13} \frac{x_i}{x_3}, \\ t'_{3i} &= v_{1i} \frac{x'_3}{x'_i} + v_{13} \frac{x'_i}{x'_3}, \\ t'_{3i} &= v_{1i} \frac{\lambda_3 x_3}{\lambda_i x_i} + \frac{\lambda_i x_i}{\lambda_3 x_3}. \end{aligned}$$

The equality  $t_{3i} = t'_{3i}$  is a quadratic equation for  $\lambda_i/\lambda_3$  which has the solutions

$$\frac{\lambda_i}{\lambda_3} = 1 \quad \text{or} \quad \frac{\lambda_i}{\lambda_3} = \frac{v_{1i} \cdot x_3^2}{v_{13} \cdot x_i^2} = \frac{x_3 y_3}{x_i y_i}.$$

(2) Let  $X'' = X^\epsilon$ . Then  $X''$  is isomorphic to

$$(\mathbb{P}_1 \times k, a'', b''), \quad z(a''_1) = z(b''_i) = y''_i, \quad x''_1 = 0, \quad y''_1 = \infty, \quad x''_i = \frac{y_2}{y_i}, \quad y''_i = \frac{y_2}{x_i}.$$

As per  $X'' = \text{per } X$  one gets  $\mu_i \in k^*$  such that

$$\begin{aligned} x_i &= \mu_i x''_i = \mu_i \frac{y_2}{y_i}, \\ y_i &= \mu_i y''_i = \mu_i \frac{y_2}{x_i}. \end{aligned}$$

Thus  $\mu_i = x_i y_i / y_2$  for all  $i \geq 3$  and

$$x'_i = \lambda_i x_i = \lambda_i \mu_i x''_i.$$

If  $x_i \neq x'_i$ , then  $\lambda_i = y_2 / x_i y_i$  and  $\lambda_i \mu_i = 1$ , thus  $x''_i = x'_i$ .

(3) Let  $x'_3 = x_3$ . Then there is  $i \geq 3$  such that  $x'_i \neq x_i$ . Then

$$\lambda_i = \frac{y_2}{x_i y_i} = \frac{x_3 y_3}{x_i y_i}$$

and thus  $y_2 = x_3y_3$

(4) If  $x'_3 \neq x_3$  there is an index  $i \geq 3$  such that  $x''_i \neq x'_i$ . Then  $x''_3 = x'_3$ ,  $x''_i \neq x_i$ . As in (3) thus  $y''_2 = x''_3y''_3$ . As  $y''_2 = y_2$ ,  $x''_3y''_3 = y_2/x_3y_3$  one also gets  $x_3y_3 = y_2$ .

*Proof of Proposition (5.2.1):* Assume that  $X \neq X'$ ,  $X^\epsilon \neq X'$ . Then apply Lemma (5.2.3) to three indices  $1, 2, j$  instead of  $1, 2, 3$ . One gets  $x_jy_j = y_2$  thus for all  $j$ . But then  $X = X^\epsilon$  and from Lemma (5.2.2) we get that  $X' = X$ . ■

*Remark:* Proposition (5.2.1) is related to a special case of a theorem of Y. Namikawa (injectivity of Torelli map), see [N], Thm. 7. However, he has given a proof only for  $g \leq 3$ , see [N], p. 254.

3. Now we prove Proposition (5.1.1). Let  $v \in Q_g(k)$ ,  $F_\nu(v) = 0$  for all  $\nu$ . Let  $v'$  (resp.  $v''$ ) be the  $(g - 1) \times (g - 1)$  matrix obtained from  $v$  by deleting the last column and the last row of  $v$  (resp. the column and row to the index  $(g - 1)$ ). Then  $v', v'' \in Q_{g-1}(k)$  and  $F_\nu(v') = 0$ ,  $F_\nu(v'') = 0$  for all  $\nu$

If  $g = 4$  we already know from Section 4 that  $v = \text{per } X$ ,  $X \in B_{2g}^*(k)$ . If  $g \geq 5$  we proceed by induction. Thus there are  $X', X'' \in B_{2g-2}^*(k)$  such that  $\text{per } X' = v'$ ,  $\text{per } X'' = v''$ . As  $v', v'' \in Q_{g-1}^*$  both  $X', X''$  are irreducible. Let

$$X' = (\mathbb{P}_1 \times k, a', b'), \quad X'' = (\mathbb{P}_1 \times k, a'', b'')$$

and

$$Y' = (\mathbb{P}_1 \times k, \alpha', \beta'), \quad Y'' = (\mathbb{P}_1 \times k, \alpha'', \beta'')$$

with

$$\begin{aligned} \alpha' &= (a'_1, \dots, a'_{g-2}), & \beta' &= (b'_1, \dots, b'_{g-2}), \\ \alpha'' &= (a''_1, \dots, a''_{g-2}), & \beta'' &= (b''_1, \dots, b''_{g-2}). \end{aligned}$$

Now  $\text{per } Y' = \text{per } Y''$  and thus  $Y' = Y''$  or  $Y' = (Y'')^\epsilon$ . If  $Y' = (Y'')^\epsilon$  we replace  $X''$  by  $(X'')^\epsilon$ . Thus without loss of generality we can assume  $Y' = Y''$ . If then  $X := (\mathbb{P}_1 \times k, a, b)$ ,  $a_i := a'_i$  for  $i \leq g - 1$ ,  $a_g := a''_{g-1}$ ,  $b_i := b'_i$  for  $i \leq g - 1$ ,  $b_g := b''_{g-1}$ , then  $\text{per } X = v$ .

*Remark:* The set of zeroes in  $Q_g$  of all  $F_\nu$  seems to be  $P_g$  always, but the proof is more involved as certain combinatorial problems arise.

One also can carry out the computation of the equations which describe the set of period matrices of "totally degenerate Prym varieties", see [B], p. 618. This will be done in a forthcoming article. The Prym period matrices in  $Q_5$  are a hypersurface in  $Q_5$ .

## References

- [B] A. Beauville, *Prym varieties: A survey*, in *Theta Functions*, Bowdoin 1987, Proceedings of Symposia in Pure Mathematics, Vol. 49, Part 1, AMS.
- [F] H. Farkas, *Schottky–Jung theory*, in *Theta Functions*, Bowdoin 1987, Proceedings of Symposia in Pure Mathematics, Vol. 49, Part 1, pp. 459–483.
- [G] L. Gerritzen, *Theta divisors on totally degenerate curves*, Bochum, manuscript, 1990.
- [GHP] L. Gerritzen, F. Herrlich and M. van der Put, *Stable  $n$ -pointed trees of projective lines*, Proc. Kon. Ned. Akad. Wetenschappen, Series A, **91** (1988), 131–163.
- [vG] B. van Geemen, *Siegel modular forms vanishing on the moduli space of curves*, Invent. Math. **78** (1984), 329–349.
- [H] R. Hartshorne, *Algebraic Geometry*, Springer-Verlag, Berlin, 1977.
- [I] J. Igusa, *On the irreducibility of Schottky's divisor*, J. Fac. Sci. Tokyo **28** (1981), 531–545.
- [MF] D. Mumford and J. Fogarty, *Geometric Invariant Theory*, Springer-Verlag, Berlin–Heidelberg–New York, 1982.
- [M] D. Mumford, *Tata Lectures on Theta II*, Birkhäuser-Verlag, Boston–Basel–Stuttgart, 1984.
- [N] Y. Namikawa, *On the canonical holomorphic map from the moduli space of stable curves to the Igusa monoidal transform*, Nagoya Math. J. **52** (1973), 197–259.